

**Part 2B of Form ADV: *Brochure Supplement***

**James B. Patton**

605 Chestnut Street, Suite 1010  
Chattanooga, TN 37450  
Telephone: (423) 414-2100  
E-mail: jimmy@pamwealth.com

**Patton Albertson Miller Group, LLC**

605 Chestnut Street, Suite 1010  
Chattanooga, TN 37450  
Telephone: (423) 414-2100 Facsimile: (423) 414-2009  
Email: [lisa@pamwealth.com](mailto:lisa@pamwealth.com)  
Web Address: [www.pattonalbertsonmiller.com](http://www.pattonalbertsonmiller.com)

**September 21, 2017**

**This brochure supplement provides information about James B. Patton that supplements the Patton Albertson Miller Group, LLC brochure. You should have received a copy of that brochure. Please contact James B. Patton if you did not receive Patton Albertson Miller Group, LLC's brochure or if you have any questions about the contents of this supplement.**

Additional information about James B. Patton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational Background and Business Experience**

James B. Patton

*Year of Birth:* 1958

*Education:*

- University of Georgia, B.B.A. in Finance, 1981

*Business Background:*

- Patton Albertson Miller Group, LLC, (successor to Patton Albertson & Miller, LLC), CEO and Managing Principal, 2003—Present

## **Item 3. Disciplinary Information**

Mr. Patton has no history of any disciplinary events.

## **Item 4. Other Business Activities**

Mr. Patton serves as a Director of a Health Care Company, and its various subsidiaries and affiliates, which operate skilled nursing facilities and related businesses. Mr. Patton serves as the Chair of the company's investment committee. The Company's investments are managed by an independent, third party manager under a discretionary management agreement. Mr. Patton has no role in the selection of specific investments nor is he involved in the day-to-day trading activities, including the timing of trades. Mr. Patton does not have access to any non-public information through his role as Chair of the Investment Committee including insider information. If he were to receive non-public information, he would be required by PAM's Code of Ethics to notify the CCO and all trading in those securities would be restricted.

For his services as a Director, Mr. Patton receives a monthly stipend. The Company is not a client of PAM however, the Chief Executive Officer is the beneficial owner of a limited liability company which is a client of PAM. Fees charged to this account are based on PAM's standard fee schedule on terms no more favorable than those available to its other clients.

Mr. Patton also serves as a Director of an industrial company. This company is a privately-held, for profit, corporation of which Mr. Patton has no ownership interest. Mr. Patton receives a monthly stipend for his service as a director well as a fee for each meeting that he attends. PAM does not provide wealth management or investment management services to the industrial company or any of its Directors, Executives or owners.

Mr. Patton is a Trustee of a charitable foundation and serves on its Investment Committee. The foundation is a private foundation and makes grants to 501(c)3 organizations. The foundation's investments are managed by independent, third party managers under discretionary management agreements. Mr. Patton has no role in the selection of specific investments nor is he involved in the day-to-day trading activities, including the timing of trades. Mr. Patton does not have access to any non-public information through his role as Chair of the Investment Committee including insider information. If he were to receive non-public information, he would be required by PAM's Code of Ethics to notify the CCO and all trading in those securities would be restricted. Mr. Patton receives no compensation for his service as a trustee. The foundation is not a client of PAM. Mr. Patton is not aware of any grants that have in the past, or would in the future, create a conflict of interest with clients of PAM.

Mr. Patton is a founding partner and member of Patton Albertson Miller Group, LLC (successor to Patton Albertson & Miller, LLC) which is the single member of a Member-Managed South Dakota Limited Liability Company, PAM Fiduciary Services Limited, LLC ("PFSL"). PFSL was formed to serve as an entity under South Dakota Codified Laws (SDCL) §51A-6A-66 for the purpose of serving as a limited independent trustee which may include the roles of trust protector, investment advisor and/or distribution advisor. LLC's serving in this capacity are not required to hold trust powers under South Dakota law. PFSL may only serve in this role for trusts with a South Dakota situs. Under Rule 206(4)2 of the Investment Advisors Act of 1940, PAM is deemed to have custody of accounts under which PFSL serves as an independent trustee.

#### **Item 5. Additional Compensation**

Mr. Patton may directly or indirectly receive earn-out or other compensation from Focus Financial Partners, LLC, the indirect parent company of PAM.

**Item 6. Supervision**

Lisa Bryant, as Chief Compliance Officer, Marc Albertson, Director of Client Services and William Miller, Chief Investment Officer are responsible for the supervision and monitoring of investment advice offered to advisory clients of Patton Albertson Miller Group, LLC. These individuals will review all employees' personal securities transactions on a quarterly basis, oversee all material investment policy changes, and conduct periodic testing to ensure that client objectives and mandates are being met. They can be reached at (423) 414-2100.